

# **Arms Trade Treaty: Status of ATT Finances**

**Dumisani DLADLA, Head of the ATT Secretariat  
18 February 2022**

# Background

- ATT Financial Rules (Rule 5 and Rule 6 formula)
- Considerations for calculation of assessed contributions:
  - ✓ CSP approved budget estimates
  - ✓ Number of States Parties
  - ✓ Number of other States in attendance at last CSP
  - ✓ UN scale of assessment
  - ✓ ATT scale of effort

# Source of revenue



- Exclusive financial contributions from states
- States Parties:
  - ✓ Conference costs
  - ✓ ATT Secretariat costs
- Signatory and Observer states in attendance at last CSP
  - ✓ Conference costs (attendance fee)
  - ✓ ATT Secretariat costs (activities related to conference organisation)

# Financial Management Process



- Budget estimates approved by a CSP
- Invoices: October of every year (Rule 8)
- Payable in 3 months (end of Jan (Rule 8))
- Reminders: March including info on all outstanding contributions
- Status of contributions posted on ATT website (regularly)
- Rollover register posted on ATT website (annually)

# CSP7 Decision on Finances



- Adopted the ATT Budget for FY 2022
- Adopted guidelines for making financial arrangements under Financial Rule 8 (1) d

# Overview of Contributions received (17 February)



	2015/16 Budget	2017 Budget	2018 Budget	2019 Budget	2020 Budget	2021 Budget	2022 Budget
<b>Contributions Assessed</b>	993,102.49	1,046,194.66	975,927.00	1,084,125.00	1,069,785.00	1,068,200.00	1,196,710.00
<b>Contributions Received</b>	928,382.99	981,302.57	919,923.47 (incl. RO: 270,771.90)	1,013,323.17	978,252.62 (incl. RO: 179,815.53)	972,158.98 (incl. RO: 211,418.73)	945,088.21 (incl. RO: 196,168.61)
<b>Contributions Outstanding</b>	-64,719.50	-64,892.09	-56,003.53	-64,625.38	-91,532.38	-96,041.02	-251,621.79
<b>% of Budget Received</b>	93.48%	93.80%	94.26%	93.46%	91.44%	91.00%	78.97%
<b>States Assessed</b>	124	121	119	127	122	121	127
<b>States having paid</b>	99	102	102	105	96	82	51
<b>% of States having paid</b>	79.84%	84.30%	85.71%	82.68%	78.69%	67.77%	40.16%
<b>In-kind Contribution by Switzerland</b>	458,230.00	242,873.00	257,057.00	234,387.00	247,454.00	249,523.00	274,017.00

# Outstanding Contributions

2015/16 Budget	2017 Budget	2018 Budget	2019 Budget	2020 Budget	2021 Budget	2022 Budget	Total Deficit
+ 373.35	+ 0.00	+ 404.35	+ 14,937.52	+ 9,233.40	+ 26,684.40		
<b>-64,719.50</b>	<b>-64,892.09</b>	<b>-56,003.53</b>	<b>-64,625.38</b>	<b>-91,532.38</b>	<b>-96,041.02</b>	<b>-251,621.79</b>	<b>-689,435.70</b>
<b>25 States</b>	<b>19 States</b>	<b>17 States</b>	<b>22 States</b>	<b>26 States</b>	<b>39 States</b>	<b>76 States</b>	

# Implication of Non-Payment



- CSP5 reaffirmed the application of Financial Rule 8.4 (Rollover of total uncommitted balance of appropriations i.e. cash and deficits).
- CSP5 decision: The rollover of the balance of total credits due (FY 2015/16, FY 2017 and FY 2018) was to be paid back over a period of 5 years. 2 years are remaining.
- The ATT is building an annual deficit of 7% avg, which is rolled over to next years' budgets. The implication is that liquidity challenges will materialize in the medium term if outstanding contributions remain unpaid.



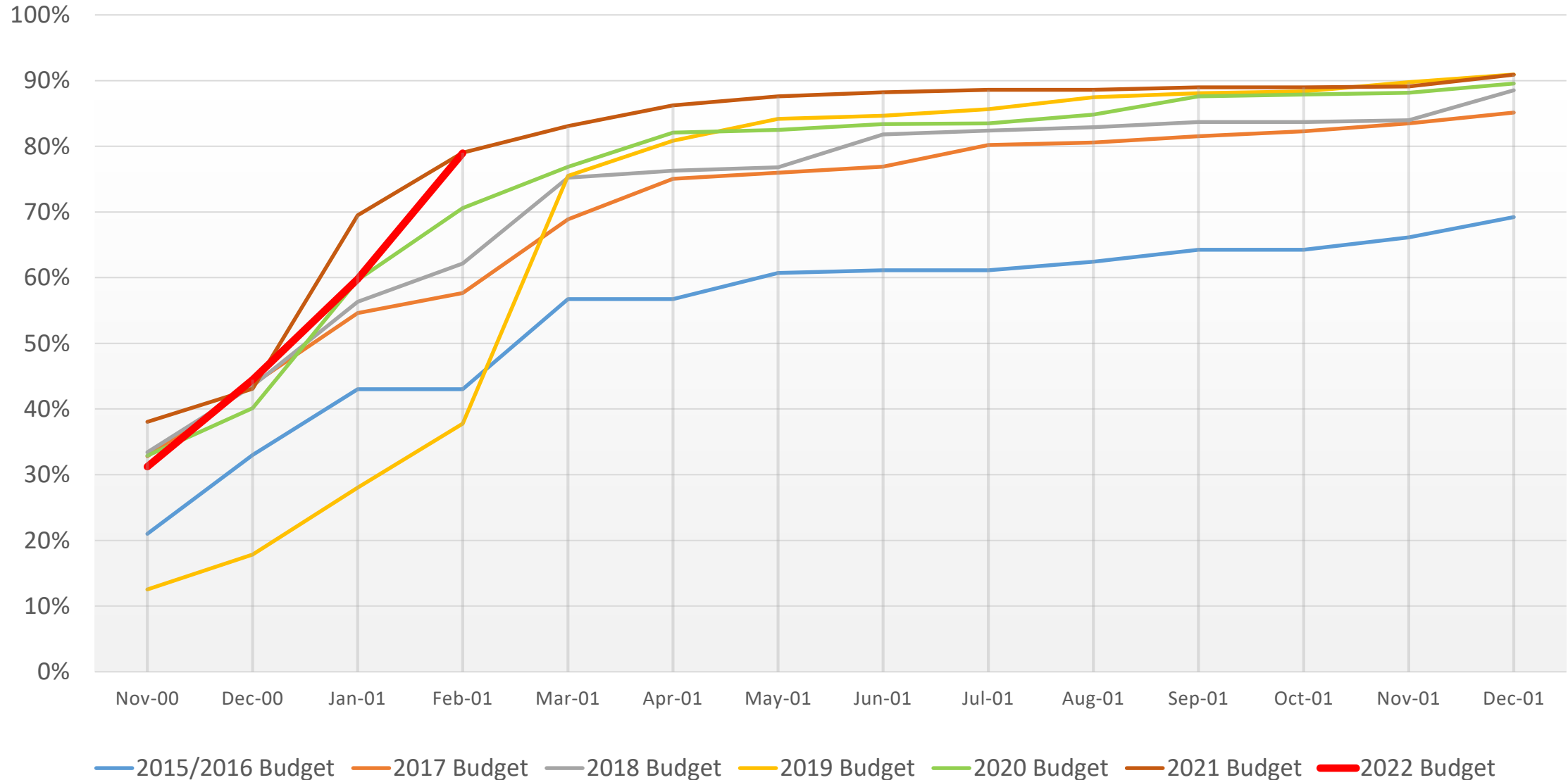
# ATT Reserve Fund



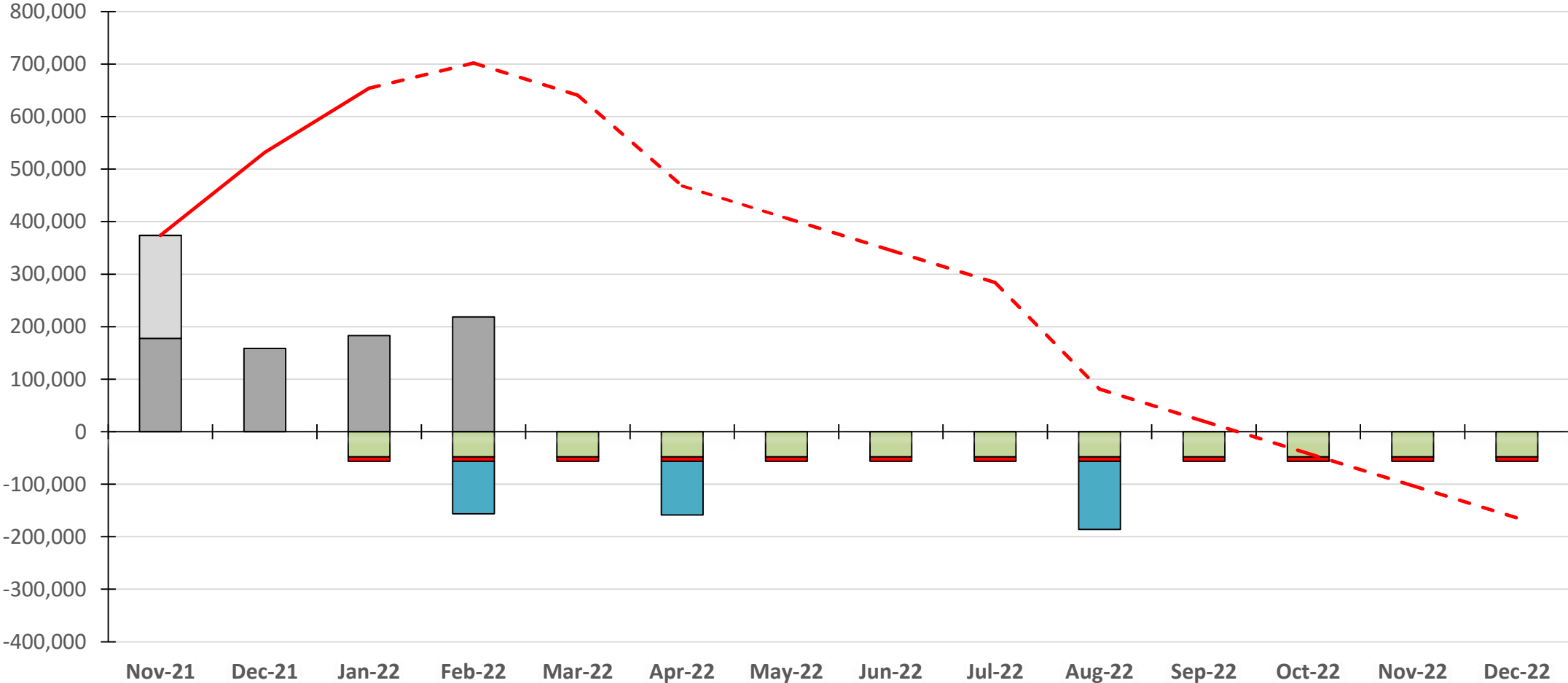
- Reserve Fund established by CSP5 decision in 2019
- Financed from voluntary contributions by states

Reserve Fund	2019	2020	2021	2022
<b>Contributions Received</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

# Percentage of Budget received (trend)



# Cash Flow Projection: 2022



Contributions ATT 2022 Budget Received
  Rollover
  Staff Costs
  Operations
  Preparatory Meetings and CSP8
  Liquidity [USD]

# **Arms Trade Treaty: Status of Finances ATT Sponsorship Programme**

**Dumisani DLADLA, Head of the ATT Secretariat  
18 February 2022**

# Status of Sponsorship Programme

## Funding: Donors



# Status of Sponsorship Programme

## Funding: Funds received



CONTRIBUTIONS TO ATT SPONSORSHIP PROGRAMME			
1	Australia	USD	35'596.19
2	Canada	USD	65'000.00
3	Germany	USD	349'484.85
4	Ireland	USD	28'442.00
5	Netherlands	USD	170'455.00
6	Portugal	USD	34'928.34
7	Republic of Korea	USD	60'000.00
8	Switzerland	USD	141'839.88
9	Sweden	USD	39'145.66
	<b>Total contributions received</b>	<b>USD</b>	<b>924'891.92</b>

# Status of Sponsorship Programme

## Funding: Financial Position



SPONSORSHIP PROGRAMME FINANCIAL POSITION		
<b>INCOME</b>		
<b>Total Income</b>	<b>USD</b>	<b>924'891.92</b>
<b>EXPENDITURE</b>		
Total Expenditure CSP5 Cycle (2019) - 58 Delegates	USD	200'786.00
Total Expenditure CSP6 Cycle (2020) - 23 Delegates	USD	73'884.00
Total Expenditure CSP7 Cycle (2021)	USD	5'468.76
<b>Total Expenditure</b>	<b>USD</b>	<b>280'138.76</b>
Estimate Expenditure First CSP8 Informal Prep Meet - 16 Delegates	<b>USD</b>	<b>55'000.00</b>
<b>NET SURPLUS (Available Funds)</b>	<b>USD</b>	<b>589'753.16</b>

**End**